



UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/863,058	05/22/2001	Hania Gajewska	14695/005001; P5114

CONFIRMATION NO. 7385

FORMALITIES LETTER



OC000000006326635

ROSENTHAL & OSHA, L.L.P.
Suite 4550
700 Louisiana
Houston, TX 77002

Date Mailed: 07/20/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$468.
 - \$468 for 26 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1308.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/20/2001 MBERHE 00000050 09863058

01 FC:101	710.00 OP
02 FC:105	130.00 OP
03 FC:103	468.00 OP

SEP 17 2001

TRANSMITTAL LETTER
(General - Patent Pending)

Docket No.
14695/005001/P51147

In Re Application Of: Hania GAJEWSKA et al.

Serial No.
09/863,058

Filing Date
May 22, 2001

Examiner

Group Art Unit
2122

Title: **HEURISTIC FOR GENERATING OPPOSITE INFORMATION FOR INCLUSION IN FOCUS EVENTS**

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

Transmitted herewith is:

Power of Attorney

in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of _____ is attached.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. **50-0591** as described below. A duplicate copy of this sheet is enclosed.
 - ☐ Charge the amount of _____
 - ☐ Credit any overpayment.
 - ☒ Charge any additional fee required.

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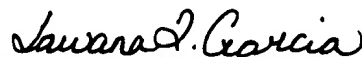

Signature

Jonathan P. Osha, Reg. No. 33,986
ROSENTHAL & OSHA L.L.P.
700 Louisiana Street, Suite 4550
Houston, Texas 77002

Telephone: 713/228-8600
Facsimile: 713/228-8778

Dated: 5/17/01

I certify that this document and fee is being deposited on Sept. 10, 2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.



Signature of Person Mailing Correspondence

Tawana L. Garcia

Typed or Printed Name of Person Mailing Correspondence

CC:

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**

N 2e for \$ #3
Docket No.
14695.005001;P5114

In Re Application Of: Hania GAJEWSKA et al

Serial No.
09/863,058

Filing Date
5/22/2001

Examiner

Group Art Unit
2122

Invention: **HEURISTIC FOR GENERATING OPPOSITE INFORMATION FOR INCLUSION IN FOCUS EVENTS**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
07/20/2001
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ Other (list):

Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)

Docket No.
14695.005001;P5114

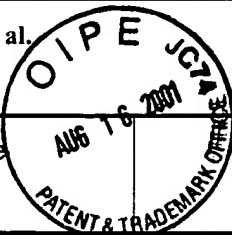
In Re Application Of: **Hania GAJEWSKA et al.**

Serial No.
09/863,058

Filing Date
5/22/2001

Examiner

Group Art Unit
2122



Invention: **HEURISTIC FOR GENERATING OPPOSITE INFORMATION FOR INCLUSION IN FOCUS EVENTS**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

☒ Completion of application fees as calculated below:

☒ Utility application filing fee \$710.00

☐ Design application filing fee _____

☒ Total number of independent claims = 3 _____

☒ Total number of claims = 46 \$468.00

☐ Multiple dependent claims _____

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath \$130.00

☐ Petition and fee for filing by other than all the inventors or a person not the inventor _____

☐ Fee for processing an application filed with a non-English language specification _____

☐ Fee for processing and retention of application _____

Total completion of application fees \$1,308.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: _____ until: _____
Date Date

Total time extension fees _____

Total fees due \$1,308.00

Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Large Entity)

Docket No.
14695.005001;P5114

In Re Application Of: Hania GAJEWSKA et al.



Serial No.
09/863,058

Filing Date
5/22/2001

Examiner

Group Art Unit
2122

Invention: **HEURISTIC FOR GENERATING OPPOSITE INFORMATION FOR INCLUSION IN FOCUS EVENTS**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of **\$1,308.00** is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **50-0591**
A duplicate copy of this sheet is enclosed.
- ☐ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No.
A duplicate copy of this sheet is enclosed.

Signature

Jonathan P. Osha, Reg. No. 33,986
ROSENTHAL & OSHA L.L.P.
700 Louisiana, Suite 4550
Houston, Texas 77002

Telephone: 713/228-8600
Facsimile: 713/228-8778

Dated: **8/14/01**

I certify that this document and fee is being deposited on **AUGUST 14, 2001** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Wendy L. Hippe

Typed or Printed Name of Person Mailing Correspondence

CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

POWER OF ATTORNEY

Docket No.
(14695/005001) P5114

Name of Applicant: SUN MICROSYSTEMS, INC.
Address of Applicant: 901 San Antonio Road, MS-PALOI-521
Palo Alto, California 94303

Title: HEURISTIC FOR GENERATING OPPOSITE INFORMATION FOR INCLUSION
IN FOCUS EVENTS

Serial No., if Any: 09/863,058
Filed: May 22, 2001

TO THE ASSISTANT COMMISSIONER FOR PATENTS

The Assistant Commissioner for Patents
Washington, D.C. 20231

Honorable Sir:

I hereby appoint:

Jonathan P. Osha, Reg. No. 33,986; Alan D. Rosenthal, Reg. No. 27,833; Richard A. Fagin, Reg. No. 39,182; David E. Mixon, Reg. No. 43,809; Adenike Adewuya, Reg. No. 42,254; Thomas K. Scherer, Reg. No. 45,079; Scott W. Hejny, Reg. No. 45,882; Y. Renee Alsandor, Reg. No. 45,883; Jeffrey S. Bergman, Reg. No. 45,925; Robert P. Lord, Reg. No. 46,479, of ROSENTHAL & OSHA L.L.P.; and

Kenneth Olsen, Reg. No. 26,493; Timothy J. Crean, Reg. No. 37,116; Alexander E. Silverman, Reg. No. 37,940; Anirma Rakshpal Gupta, Reg. No. 38,275; Sean P. Lewis, Reg. No. 42,798; Michael J. Schallop, Reg. No. 44,319; Bernice B. Chen, Reg. No. 42,403; Noreen A. Krall, Reg. No. 39,734; Richard J. Lutton, Jr., Reg. No. 39,756; Monica D. Ward, Reg. No. 40,696; Marc D. Foodman, Reg. No. 34,110; Naren Chaganti, Reg. No. 44,602; Elaine Lee, Reg. No. 41,936; Hugh H. Matsubayashi, Reg. No. 43,779; Paul D. Sorkin, Reg. No. 39,039; Marilyn E. Glaubenslee, Reg. No. 35,521; Ramin Aghevli, Reg. No. 43,462; Andrew Chen, Reg. No. 43,544; and Jeffrey L. Myers, Reg. No. 44,252, of SUN MICROSYSTEMS, INC.

as principal attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Please direct all future correspondence to:

Jonathan P. Osha
ROSENTHAL & OSHA L.L.P.
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By:

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